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FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 10806-176

U.S. APPLIC, NO. (if known, see 37 CFR 1.5)

09/936653

INTERNATIONAL APPLICATION NO. PCT/EP00/02537

INTERNATIONAL FILING DATE 16 March 2000 PRIORITY DATE CLAIMEL 16 March 1999

TITLE OF INVENTION

HYDROPHILIC MACROMOLECULAR COMPOUNDS

APPLICANT(S) FOR DO/EO US

HODD, Kenneth A.; DILLINGHAM, Keith Alfred; de GROOT, Jaqueline; HAITJEMA, Henrik

Applicant herewith submits to the United States Designated/Elected Office (DO/FO/US) the following items and other information:

- This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2. [1] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371
- 3. [X] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [X] has been transmitted by the International Bureau.
 - e. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [] A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] have been transmitted by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendment has NOT expired.
 - d. [X] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(e)(3)).
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

- 11. [] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
- 13. [X] A FIRST preliminary amendment.
 - A SECOND or SUBSEQUENT preliminary amendment.
- 14. [] A substitute specification.
- 15. [] A change of power of attorney and/or address letter
- 16. [X] Other items or information: Copy of published International Application No. WO 00/55214, including International Search Report

CERTIFICATE OF MAILING

"Express Mail" mailing label # EL378869662US

Date of Deposit: September 14, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box PCT: Assistant Commissioner for Patents: Washington, DC

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U.S. APPLIC. NO. (if known, see 37 CFR 1.50) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER	
0 G / 3 36653 PCT/EP00/02537				10806-176	
0) /				CALCULATIONS	PTO USE ONLY
17. The following fees are submitted:					
Basic National Fee (37 CFR 1.492(a)(1)-(5)):					
[X] Search Report has been prepared by the EPO or JPO \$860.00					
[] International preliminary examination fee paid to USPTO (37 CFR 1 482) \$690.00					
[] No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$710.00					
[] Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1000.00					
Il International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [X] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
Claims	Number Filed	Number Extra	Rate		•
Total Claims	24 -20 =	4	x \$18.00	\$ 72.00	
Independent Claims	2 -3 =	0	x \$80.00	\$	
Multiple dependent claim	(s) (if applicable)		+ \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1062.00	
Applicant(s) claim(s) small entity status, 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$1062.00	
Processing fee of \$130.00 for furnishing the English translation later than the {} 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1062.00	1
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$1062.00	
				Amount to be refunded	\$
				Amount to be charged	\$
a. [X] A check in the amount of \$1,062.00 to cover the above fees is enclosed.					
b. [] Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1133.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
M CUCTOMED NO 21256 AD INVENTANCE CHAIR					
⊠ CUSTOMING NO. 24.	CUSTOMER NO. 24256 OR DINSMORE & SHOHI. 1900 Chemed Center 255 Fast Fifth Street				
Cincinnati, Ohio 452					
(513) 977-8200					
SIGNATURE 30,468 REGISTRATION N					
				UMBER	
Holly D. Kozlowski 14 September 2001					
TYPED OR PRINTED NAME DATE					